



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: ) Before the Examiner  
John Parker )  
Serial No. 10/717,232 ) Not Yet Assigned  
Filed November 19, 2003 )  
VARIABLE GEOMETRY TURBINE ) Group Art Unit  
Our Ref.: 27049-21

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on

March 3, 2004

(Date of Deposit)

John H. Allie

Name of Registered Representative

Signature

March 3, 2004

Date of Signature

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure embodied in 37 C.F.R. §1.56, Applicant wishes to bring to the attention of the Examiner the references listed on the enclosed Information Disclosure Statement. These references have not been previously submitted in this application, nor heretofore cited by the examiner. Copies of the foreign patent documents are enclosed, and no copy of the U. S. reference cited on the enclosed 1449 form is enclosed herewith.

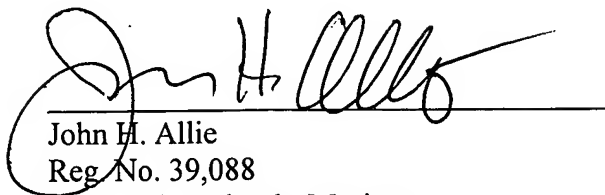
The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to the patentability as defined in §1.56(b).

This Statement is being submitted prior to the mailing of any Office Action on the merits. It is believed that no fee is required for consideration of the submitted item. Should any fee be



required, however, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,



John H. Allie

Reg. No. 39,088

Woodard, Emhardt, Moriarty,

McNett & Henry LLP

Bank One Center Tower

111 Monument Circle, Suite 3700

Indianapolis, Indiana 46204-5137

(317) 634-3456

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

*Complete if Known*

|                    |            |
|--------------------|------------|
| Application Number | 10/717,232 |
|--------------------|------------|

|             |                   |
|-------------|-------------------|
| Filing Date | November 19, 2003 |
|-------------|-------------------|

|                      |             |
|----------------------|-------------|
| First Named Inventor | John Parker |
|----------------------|-------------|

|                |         |
|----------------|---------|
| Group Art Unit | Unknown |
|----------------|---------|

|               |         |
|---------------|---------|
| Examiner Name | Unknown |
|---------------|---------|

|                        |          |
|------------------------|----------|
| Attorney Docket Number | 27049-21 |
|------------------------|----------|

|       |   |    |   |
|-------|---|----|---|
| Sheet | 1 | of | 1 |
|-------|---|----|---|

## U.S. PATENT DOCUMENTS

[illegible]

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Application of Cited<br>Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>4</sup> |
|--------------------|-----------------------|---|--------------------------------|---|---|----------------|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |   |   |                |
|                    |                       | EP 0654587  | 05-24-1995                     |   |   |                |
|                    |                       | EP 0884454  | 12-16-1998                     |   |   |                |
|                    |                       | EP 1260676  | 11-27-2002                     |   |   |                |
|                    |                       | GB 2320294  | 06-17-1998                     |   |   |                |
|                    |                       |   |                                |   |   |                |
|                    |                       |   |                                |   |   |                |
|                    |                       |   |                                |   |   |                |
|                    |                       |   |                                |   |   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**